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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Russell et al. Attorney Docket No. APPL0002  
Serial No.: 09/991,127 Group Art Unit: 2152  
Filed: November 14, 2001 Examiner:  
Title: METHOD AND SYSTEM FOR MONITORING THE PERFORMANCE OF A  
DISTRIBUTED APPLICATION

INFORMATION DISCLOSURE STATEMENT

Bellevue, Washington 98004

January 8, 2002

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FEB 21 2002

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Technology Center 2100

Applicants are aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

- ☒ 1. Copies of the listed patents, publications, and other information are enclosed for the Examiner's use.
- ☐ 2. Copies of the listed patents, publications, and other information were previously cited by or submitted to the U.S. Patent and Trademark Office in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. § 120.
- ☐ 3. A concise explanation of the relevance of document I.D. No. \_\_\_\_\_ (which is not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about its content, is provided \_\_\_\_\_.
- ☒ 4. Pursuant to 37 C.F.R. § 1.97(b), this information disclosure statement is being filed within three months of the filing date of the national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, or before the mailing date of a first Office Action on the merits.
- ☐ 5. Pursuant to 37 C.F.R. § 1.97(c), this information disclosure statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, and is accompanied by:
- a. \_\_\_\_\_ a certification as specified in 37 C.F.R. § 1.97(e); or
- b. \_\_\_\_\_ the fee set forth in 37 C.F.R. § 1.17(p). Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ is enclosed.

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6. Pursuant to 37 C.F.R. § 1.97(d), this information disclosure statement is being filed after the mailing date of either:

a. \_\_\_\_\_ a final action under 37 C.F.R. § 1.113; or

b. \_\_\_\_\_ a notice of allowance under 37 C.F.R. § 1.311,

but before payment of the issue fee. The statement is accompanied by a certification as specified in 37 C.F.R. § 1.97(e), a petition requesting consideration of the information disclosure statement, and the petition fee set forth in 37 C.F.R. § 1.17(i)(1). Check No. \_\_\_\_\_ in the amount of \$\_\_\_\_ is enclosed.

X 7. Please charge any additional fees or credit any overpayment to Deposit Account No. 01-1940. A copy of this sheet is enclosed.

Respectfully submitted,

*Ron Anderson*

Ronald M. Anderson  
Registration No. 28,829

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on January 8, 2002.

Date: January 8, 2002

*Kathy Pauline*



# INFORMATION DISCLOSURE STATEMENT LISTING SHEET

## Information Cited By Applicant(s) That May Be Material To The Prosecution Of The Subject Application

Applicant: Russell et al.

Attorney Docket No. APPL0002

Serial No.: 09/991,127

Group Art Unit: 2152

Filed: November 14, 2001

Examiner:

Title: METHOD AND SYSTEM FOR MONITORING THE PERFORMANCE OF A  
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**Technology Center 2100**

### U.S. PATENT DOCUMENTS

*Examiner Initial	ID	Document No.	Date	Name	Class	Sub- Class
_____	US1	5,212,788	05/18/1993	Lomet et al.	395	600
_____	US2	5,958,010	09/28/1999	Agarwal et al.	709	224
_____	US3	6,006,260	12/21/1999	Barrick, Jr. et al.	709	224
_____	US4	6,247,050	06/12/2001	Tso et al.	709	224

### FOREIGN PATENT DOCUMENTS

*NONE CITED*

### OTHER INFORMATION

*NONE CITED*

\_\_\_\_\_  
Examiner's Signature

\_\_\_\_\_  
Date

\*Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RMA:ssa  
1/8/02